

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of  
Peter BRICK et al.  
Serial No.: n/a  
Filed: concurrently  
For: Semiconductor Laser and Optically  
Pumped Semiconductor Device

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

S I R:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO/SB/08A. Copies of the listed documents are also enclosed.

International Application No. WO 01/93386 A1 corresponds to DE 100 26 734 A1, which corresponds to U.S. Patent Application Serial No. 09/824,086 discussed on page 1 of the instant specification.

USP 6,134,369 is mentioned on pages 4 and 9 of the instant specification.

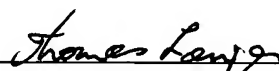
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If any fees or charges are deemed required at this time in connection with the application, the same may be charged to our Patent and Trademark Office Deposit Account No. 03-2412.

It is respectfully requested that the above information be considered by the Examiner and that the copy of the enclosed Form PTO SB/08A be returned indicating that such information has been considered.

Respectfully submitted,  
COHEN, PONTANI, LIEBERMAN & PAVANE

By   
Thomas Langer  
Reg. No. 27,264  
551 Fifth Avenue, Suite 1210  
New York, New York 10176  
(212) 687-2770

Dated: March 1, 2004

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| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br>(Use as many sheets as necessary) |        | <b>Complete if Known</b> |             |
|   |        | Application Number       |             |
|   |        | Filing Date              |             |
|   |        | First Named Inventor     | Peter BRICK |
|   |        | Art Unit                 |             |
|   |        | Examiner Name            |             |
| Sheet   | 1 of 2 | Attorney Docket Number   | 5367-71     |

| U.S. PATENT DOCUMENTS |                          |   |                                |  |   |
|-----------------------|--------------------------|---|--------------------------------|--|---|
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Document Number                             | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where Relevant<br>Passages or Relevant<br>Figures Appear |
|                       |                          | Number-Kind-Code <sup>2</sup> (if<br>known) |                                |  |   |
|                       |                          | US-6,134,369                                | 10-17-2000                     | Kurosawa   |   |
|                       |                          | US-   |                                |  |   |
|                       |                          | US-   |                                |  |   |
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|                       |                          | US-   |                                |  |   |

| FOREIGN PATENT DOCUMENTS |                          |  |                                |  |   |                |
|--------------------------|--------------------------|--|--------------------------------|--|---|----------------|
| Examiner<br>Initials*    | Cite<br>No. <sup>1</sup> | Foreign Patent Document  | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages or<br>Relevant Figures Appear | T <sup>6</sup> |
|                          |                          | Country Code <sup>3</sup> - Number <sup>4</sup> -<br>Kind-Code <sup>5</sup> (if known) |                                |  |   |                |
|                          |                          | DE 100 26 734 A1   | 12-13-2001                     | Luft et al.  |   |                |
|                          |                          | WO 01/93386 A1   | 12-06-2001                     | Albrecht et al.                                    |   | Abstract       |
|                          |                          |  |                                |  |   |                |
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| Examiner<br>Signature |  | Date<br>Considered |  |
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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